



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original ☐ design ☐ supplemental
☐ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR MANAGING DATA REGARDING DERIVATIVES TRANSACTIONS

the specification of which:

- ☐ is attached hereto.
☒ was filed on March 19, 2004 as Application No. 10/804,693 and was amended on (if applicable).
☐ was filed by Express Mail No. as Application No. not known yet, and was amended on (if applicable).
☐ was filed on as PCT International Application No. PCT/ and was amended on (if any).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS						
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED			
				YES		NO
				YES		NO
				YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
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DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
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As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number
08968

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

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I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Richard A. BAKER

Inventor's signature Richard A. Baker

Date December 10, 2004

Country of Citizenship: United States of America

Residence: Evanston, Illinois
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLOWS

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659
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CH02/ 22299297.1



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☐ divisional ☐ continuation ☐ continuation-in-part

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PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS					
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED		
				YES	NO
				YES	NO
				YES	NO

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APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

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U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
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PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
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In re Appln. of Baker et al.
Attorney Docket No. P1995A

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Customer Number
08968

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Customer Number
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I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Richard A. BAKER

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Evanston, Illinois
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature  _____

Date 12/28/2024 _____

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLOWS

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

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(complete mailing address)

In re Appln. of Baker et al.
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Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
(city/state or country)

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Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature _____

Date _____

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Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
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Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature _____

Date _____

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(city/state or country)

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U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
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PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
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ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
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Inventor's signature _____

Date _____

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Full name of **second joint inventor**, if any: Brian LAIRD

Inventor's signature _____

Date _____


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Attorney Docket No. P1995A

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature 

Date 12/10/04

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLOWS

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
(complete mailing address)

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Attorney Docket No. P1995A

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
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Date _____

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Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of fourth joint inventor, if any: Matt SWEETNAM

Inventor's signature _____

Date 2/22/05

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of fifth joint inventor, if any: Brent BILLOWS

Inventor's signature _____

Date 12-15-04

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of sixth joint inventor, if any: Steven KARLOVITZ

Inventor's signature _____

Date 12-15-04

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
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Attorney Docket No. P1995A

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

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Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659
(complete mailing address)

CH02/ 22299297.1



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original ☐ design ☐ supplemental
☐ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR MANAGING DATA REGARDING DERIVATIVES TRANSACTIONS

the specification of which:

- ☐ is attached hereto.
☒ was filed on March 19, 2004 as Application No. 10/804,693 and was amended on (if applicable).
☐ was filed by Express Mail No. as Application No. not known yet, and was amended on (if applicable).
☐ was filed on as PCT International Application No. PCT/ and was amended on (if any).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS						
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED			
				YES		NO
				YES		NO
				YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4.				
5.				
6.				

In re Appln. of Baker et al.
Attorney Docket No. P1995A

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number
08968

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number
08968

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of **sole or first inventor**: Richard A. BAKER

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Evanston, Illinois
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201
(complete mailing address)

Full name of **second joint inventor**, if any: Brian LAIRD

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLOWS

Inventor's signature Brent Billos

Date 12-15-04

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature Steven Karlovitz

Date 12-15-04

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **seventh joint inventor**, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018
(complete mailing address)

Full name of **eighth joint inventor**, if any: Diane SCHUERING

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
(complete mailing address)

Full name of **ninth joint inventor**, if any: Marc MACQUARRIE

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659
(complete mailing address)

CH02/ 22299297.1



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original ☐ design ☐ supplemental
☐ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR MANAGING DATA REGARDING DERIVATIVES TRANSACTIONS

the specification of which:

- ☐ is attached hereto.
☒ was filed on March 19, 2004 as Application No. 10/804,693 and was amended on (if applicable).
☐ was filed by Express Mail No. as Application No. not known yet, and was amended on (if applicable).
☐ was filed on as PCT International Application No. PCT/ and was amended on (if any).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the application identified above in accordance with 37 CFR 1.56.

I claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent, utility model, design registration, or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS						
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED			
				YES		NO
				YES		NO
				YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION. NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4.				
5.				
6.				

In re Appln. of Baker et al.
Attorney Docket No. P1995A

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number
08968

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number
08968

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of **sole or first inventor**: Richard A. BAKER

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Evanston, Illinois
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201
(complete mailing address)

Full name of **second joint inventor**, if any: Brian LAIRD

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of **third joint inventor**, if any: Lance KLEIN

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of **fourth joint inventor**, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of **fifth joint inventor**, if any: Brent BILLOWS

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of **sixth joint inventor**, if any: Steven KARLOVITZ

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
(complete mailing address)

In re Appln. of Baker et al.
Attorney Docket No. P1995A

Full name of seventh joint inventor, if any: John COMBALU

Inventor's signature

Date 12 - 14 - 04

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018
(complete mailing address)

Full name of eighth joint inventor, if any: Diane SCHUERING

Inventor's signature

Date

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
(complete mailing address)

Full name of ninth joint inventor, if any: Marc MACQUARRIE

Inventor's signature

Date

12/10/04

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659
(complete mailing address)

CH02/ 22299297.1



COMBINED DECLARATION AND POWER OF ATTORNEY

As the sole inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original ☐ design ☐ supplemental
☐ national stage of PCT
☐ divisional ☐ continuation ☐ continuation-in-part

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR MANAGING DATA REGARDING DERIVATIVES TRANSACTIONS

the specification of which:

- ☐ is attached hereto.
☒ was filed on March 19, 2004 as Application No. 10/804,693 and was amended on (if applicable).
☐ was filed by Express Mail No. as Application No. not known yet, and was amended on (if applicable).
☐ was filed on as PCT International Application No. PCT/ and was amended on (if any).

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PRIOR FOREIGN PATENT, UTILITY MODEL, AND DESIGN REGISTRATION APPLICATIONS						
COUNTRY	PRIOR FOREIGN APPLICATION NO.	DATE OF FILING (day,month,year)	PRIORITY CLAIMED			
				YES		NO
				YES		NO
				YES		NO

I claim the benefit pursuant to 35 USC 119(e) of the following United States provisional patent application(s):

PRIOR U.S. PROVISIONAL PATENT APPLICATIONS, BENEFIT CLAIMED UNDER 35 USC 119(e)	
APPLICATION NO.	DATE OF FILING (day,month,year)
60/457,233	25 March 2003

I claim the benefit pursuant to 35 USC 120 of any United States patent application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this patent application is not disclosed in the prior patent application(s) in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 effective between the filing date of the prior patent application(s) and the national or PCT international filing date of this patent application.

PRIOR U.S. PATENT APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S., BENEFIT CLAIMED UNDER 35 USC 120					
U.S. PATENT APPLICATIONS			Status (check one)		
U.S. APPLICATION NO.	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
1.					
2.					
3.					
PCT APPLICATIONS DESIGNATING THE U.S.			Status (check one)		
PCT APPLICATION NO.	PCT FILING DATE (day,month,year)	U.S. APPLICATION NOS. ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
4.					
5.					
6.					

DETAILS OF FOREIGN APPLICATIONS FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 FOR ABOVE LISTED U.S./PCT APPLICATIONS				
ABOVE APPLICATION NO.	COUNTRY	APPLICATION NO.	DATE OF FILING (day,month,year)	DATE OF ISSUE (day,month,year)
1.				
2.				
3.				
4.				
5.				
6.				

As a named inventor, I hereby appoint Gardner Carton & Douglas LLP to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number
08968

I further direct that correspondence concerning this application be directed to Gardner Carton & Douglas LLP:

Customer Number
08968

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Richard A. BAKER

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Evanston, Illinois
(city/state or country)

Post Office Address: 1830 Ridge Avenue, Apt. 104, Evanston, Illinois 60201
(complete mailing address)

Full name of second joint inventor, if any: Brian LAIRD

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Carpentersville, Illinois
(city/state or country)

Post Office Address: 2921 Plantation Drive, Carpentersville, Illinois 60110
(complete mailing address)

Full name of third joint inventor, if any: Lance KLEIN

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Naperville, Illinois
(city/state or country)

Post Office Address: 2153 University Avenue, Naperville, Illinois 60565
(complete mailing address)

Full name of fourth joint inventor, if any: Matt SWEETNAM

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Planfield, Illinois
(city/state or country)

Post Office Address: 734 Bartlett Avenue, Planfield, Illinois 60544
(complete mailing address)

Full name of fifth joint inventor, if any: Brent BILLOWS

Inventor's signature  _____

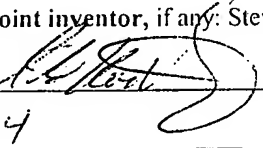
Date 12-15-04

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 1530 S. State Street, Chicago, Illinois 60605
(complete mailing address)

Full name of sixth joint inventor, if any: Steven KARLOVITZ

Inventor's signature  _____

Date 12-15-04

Country of Citizenship: United States of America

Residence: Glendale Heights, Illinois
(city/state or country)

Post Office Address: 2026 Cypress Court, Glendale Heights, Illinois 60139
(complete mailing address)

Full name of seventh joint inventor, if any: John COMPALL

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Des Plaines, Illinois
(city/state or country)

Post Office Address: 1733 Whitcomb Avenue, Des Plaines, Illinois 60018
(complete mailing address)

Full name of eighth joint inventor, if any: Diane SCHUERING

Inventor's signature Diane Schuerin

Date 12/30/04

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 505 N. Lake Shore Drive, Apt. 3206, Chicago, Illinois 60611
(complete mailing address)

Full name of ninth joint inventor, if any: Marc MACQUARRIE

Inventor's signature _____

Date _____

Country of Citizenship: United States of America

Residence: Chicago, Illinois
(city/state or country)

Post Office Address: 5915 N. Washtenaw Avenue, Chicago, Illinois 60659
(complete mailing address)

CH02/ 22299297.1